# Large-Scale Answerer in Questioner's Mind for Visual Dialog Question Generation

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### Abstract

Answerer in Questioner's Mind (AQM) is an information-theoretic framework that has been recently proposed for task-oriented dialog systems. AQM benefits from asking a question that would maximize the information gain when it is asked. However, due to its intrinsic nature of explicitly calculating the information gain, AQM has a limitation when the solution space is very large. To address this, we propose AQM+ that can deal with a large-scale problem and ask a question that is more coherent to the current context of the dialog. We evaluate our method on GuessWhich, a challenging task-oriented visual dialog problem, where the number of candidate classes is approximately 10K. Our experimental results and ablation studies show that AQM+ outperforms the state-of-the-art models by a remarkable margin with a reasonable approximation. Based on our results, we argue that AQM+ is a general task-oriented dialog algorithm that can be applied for non-yes-or-no responses.

### 1 Introduction

Recent advances in deep learning have led an end-to-end neural approach [1–5] to task-oriented dialog problems that can reduce a laborious labeling task on states and intents [6, 7]. Lee et al. have recently proposed "Answerer in Questioner's Mind" (AQM) algorithm that does not depend on a limited capacity of RNN models to cover an entire dialog [8]. AQM treats the task-oriented dialog problem as twenty question games and selects the question that gives a maximum information gain. Unlike the other approaches, AQM benefits from explicitly calculating the posterior distribution and finding a solution analytically. The authors showed promising results in the task-oriented dialog problem, such as GuessWhat [9], where a questioner tries to find an object that is in answerer's mind via a series of Yes/No questions. The candidates are confined to the objects that are presented in the given image (less than ten on average). However, this simplified task may not be general enough to practical problems where the numbers of objects, questions and answers are typically unrestricted. For example, GuessWhich is a generalized version of GuessWhat that has a greater number of class candidates (9,628 images) and the dialogs consists of sentences beyond yes or no [10].

To address this, we propose a more generalized version of AQM, dubbed AQM+. Compared to the original AQM, the proposed AQM+ can easily handle the increased number of questions, answers, and candidate classes by employing an approximation based on subset sampling. Because our algorithm considers the previous history of the dialog, AQM+ can generate a more contextual question. Our main contributions are summarized as follows: 1) We propose AQM+ that extends the AQM framework toward more general and complicated tasks. AQM+ can handle a more complicated problem where the number of candidate classes is extremely large. 2) At every turn, AQM+ generates a question considering the context of the previous dialog, which is desirable in practice. 3) AQM+ outperforms comparative deep learning models by a large margin in Guesswhich, a challenging task-oriented visual dialog task.

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Figure 1: Modules in AQM+ and comparative models. SL and RL have their main neural modules as Qgen  $p^{\dagger}$  and Qscore  $f^{\ddagger}$ , while AQM has aprxAgen  $\tilde{p}$  used for Qpost  $\tilde{I}$  and Qinfo  $\hat{p}$ . AQM+ contains all five modules and uses these to make subsets  $Q_t$ ,  $A_t$ , and  $C_t$ , thus achieving approximated estimation on information gain for large-scale inference, along with efficient contextual question generation.

### 2 Algorithm: AQM+

**Problem Setting** In our experiments, a questioner bot (Qbot) and an answerer bot (Abot) cooperatively communicate to achieve the goal via natural language. Under the AQM framework, at each turn t, Qbot generates an appropriate question  $q_t$  and guesses the target class c given a previous history of the dialog  $h_{t-1} = (q_{1:t-1}, a_{1:t-1}, h_0)$ . Here,  $a_t$  is the t-th answer and  $h_0$  is an initial context that can be obtained before the start of the dialog. We refer to the random variables of target class and the t-th answer as C and  $A_t$ , respectively. Note that the t-th question is not a random variable in our information gain calculation. To distinguish from the random variables, we use a bold face for a set notation of target class, question, and answers; i.e. C, Q, and A.

**Preliminary: Supervised Learning, Reinforcement Learning, and AQM Approaches** In supervised learning (SL) and reinforcement learning (RL) approaches [10–12], Qbot consists of two RNN modules. One is "Qgen", a question generator finding the solution that maximizes its distribution  $p^{\dagger}$ ; i.e.  $q_t^* = \operatorname{argmax} p^{\dagger}(q_t|h_{t-1})$ . The other is a "Qscore", a class guesser using the score function for each class  $f^{\ddagger}(c|h_t)$ . Two RNN modules can be fully separated into two RNNs [13], or can share some recurrent layers but have different output layers from each other [10].

On the other hand, in the previous AQM approach [8], these two RNN-based models are substituted to the calculation that explicitly finds an analytic solution. It finds a question that maximizes information gain or mutual information  $\tilde{I}$ , i.e.  $q_t^* = \operatorname{argmax}_{q_t \in \mathbf{Q}_{fix}} \tilde{I}[C, A_t; q_t, h_{t-1}]$ , where

$$\tilde{I}[C, A_t; q_t, h_{t-1}] = \sum_{c \in \mathbf{C}} \sum_{a_t \in \mathbf{A}} \hat{p}(c|h_{t-1}) \tilde{p}(a_t|c, q_t, h_{t-1}) \ln \frac{\tilde{p}(a_t|c, q_t, h_{t-1})}{\tilde{p}'(a_t|q_t, h_{t-1})},$$
(1)

$$\hat{p}(c|h_t) \propto \hat{p}'(c|h_0) \prod_{j=1}^t \tilde{p}(a_j|c, q_j, h_{j-1}) = \hat{p}(c|h_{t-1})\tilde{p}(a_t|c, q_t, h_{t-1}).$$
(2)

Here, a posterior function  $\hat{p}$  can be calculated with the following equation in a sequential way, where  $\hat{p}'$  is a prior function given  $h_0$ . In AQM, Equation 1 and Equation 2 can be explicitly calculated from the model. For ease of reference, let us name every component one by one. A module that calculates an information gain  $\tilde{I}$  is referred to as "Qinfo" and a module that finds an approximated answer distribution  $\tilde{p}(a_t|c,q_t,h_{t-1})$  is referred to as "aprxAgen". In AQM, aprxAgen is a model distribution that Qbot has in mind where the target is the true distribution of an answer generator  $\bar{p}(a_t|c,q_t,h_{t-1})$ , which is referred to as "Agen". Finally, "Qpost" denotes a posterior  $\hat{p}$  calculation module for guessing a target class.

As AQM uses the full set of C and A, the complexity depends on the size of C and A. For the question selection, AQM uses a predefined set of candidate questions ( $Q_{fix}$ ), which is not changed for different turn.

Table 1: Test percentile mean rank (PMR) in the 10-th round. Baseline refers the 0-th round PMR of SL-Q. The results of comparative deep models in the non-delta setting are from [10]. Baseline is the 0-th turn performance of SL-Q.

1	Baseline	SL-Q	RL-QA	AQM+ w/ indA	AQM+ w/ depA	AQM+ w/ trueA
non-delta	88.5	90.9	93.3	94.64	97.45	99.87
delta	95.45	95.72	95.69	97.17	98.25	99.22



Figure 2: Test percentile mean ranks on GuessWhich experiments.

**AQM+ Algorithm** In this paper, we propose AQM+ algorithm, which uses sampling-based approximation, for tackling the large-scale task-oriented dialog problem. The core differences of AQM+ from the previous AQM are Infogain\_topk explained as follows:

$$\tilde{I}_{topk}[C, A_t; q_t, h_{t-1}] = \sum_{a_t \in \mathbf{A}_{t,topk}} \sum_{c \in \mathbf{C}_{t,topk}} \hat{p}_{reg}(c|h_{t-1}) \tilde{p}_{reg}(a_t|c, q_t, h_{t-1}) \ln \frac{\tilde{p}_{reg}(a_t|c, q_t, h_{t-1})}{\tilde{p}'_{reg}(a_t|q_t, h_{t-1})},$$
(3)

where  $\hat{p}_{reg}$  and  $\tilde{p}_{reg}$  are the normalized version of  $\hat{p}$  over  $\mathbf{C}_{t,topk}$  and  $\tilde{p}$  over  $\mathbf{A}_{t,topk}(q_t)$ , respectively. Here,  $\hat{p}_{reg}(c|h_{t-1}) = \hat{p}(c|h_{t-1})/\sum_{c \in \mathbf{C}_{t,topk}} \hat{p}(c|h_{t-1})$ ,  $\hat{p}_{reg} = \tilde{p}(a_t|c, q_t, h_{t-1})/\sum_{a_t \in \mathbf{A}_{t,topk}(q_t)} \tilde{p}(a_t|c, q_t, h_{t-1})$ , and  $\tilde{p}'_{reg}(a_t|q_t, h_{t-1}) = \sum_{c \in \mathbf{C}_{t,topk}} \hat{p}_{reg}(c|h_{t-1}) \cdot \hat{p}_{reg}(a_t|c, q_t, h_{t-1})$ .

Each set is constructed by the following procedures.

- $\mathbf{C}_{t,topk} \leftarrow \text{top-K posterior test images (from Qpost } \hat{p}(c|h_{t-1}))$
- $\mathbf{Q}_{t,gen} \leftarrow \text{top-K}$  likelihood questions using the beam search (from Qgen  $p^{\dagger}(q_t|h_{t-1})$ )
- $\mathbf{A}_{t,topk}(q_t) \leftarrow \text{top-1}$  generated answers from aprxAgen for each question  $q_t$  and each class in  $\mathbf{C}_{t,topk}$  (from aprxAgen  $\tilde{p}(a_t|c, q_t, h_{t-1})$ )

Learning In all SL, RL, and AQM frameworks, Qbot needs to be trained to approximate the answergenerating probability of Abot. In AQM approach, aprxAgen does not share the parameters with Agen, and therefore also needs to be trained to approximate Agen. AQM can train aprxAgen by the learning strategy of the SL or RL approach. We explain two learning strategies of AQM framework below: indA and depA. In SL approach, Qgen and Qscore are trained from the training data, which have the same or similar distribution to that of the training data used in training Abot. Likewise, in indA setting of AQM approach, aprxAgen is trained from the training data. In RL approach, Qbot uses dialogs made by the conversation of Qbot and Abot and the result of the game as the objective function (i.e. reward). Likewise, in depA setting of AQM approach, aprxAgen is trained from the questions in the training data and following answers obtained in the conversation between Qbot and Abot. We also use the term trueA, referring to the setting where aprxAgen is the same as Agen, i.e. they share the same parameters. Both the previous AQM algorithm and the proposed AQM+ algorithm use these learning strategies.



Figure 3: Qualitative results on image retrieval of AQM+. Left column shows true images and their corresponding caption, and right column contains selected top-k images.

## **3** Experiments on GuessWhich

**GuessWhich Task** GuessWhich is a two player game played by Qbot and Abot. The goal of GuessWhich is to figure out a correct answer out of 9,628 test images by asking a sequence of questions. Abot can see the randomly assigned target image, which is unknown to Qbot. Qbot only observes a caption of the image generated from Neuraltalk2 [14]. To achieve the goal, Qbot asks a series of questions, to which Abot responds with a sentence.

**Comparative Models** We compare AQM+ with three comparative models, SL-Q, RL-Q, and RL-QA [10]. In SL-Q, Qbot and Abot are trained separately from the training data. In RL-Q, Qbot is initialized by the Qbot trained by SL-Q and then is fine-tuned by RL. Abot is the same as the Abot trained by SL-Q, and is not fine-tuned further. In the original paper [10], it was referred to as Frozen-A. By the way, in an RL-QA setting, not only Qbot but also Abot is concurrently trained with Qbot. In the original paper, it was referred to as RL-full-QAf. We also compare our AQM+ with "Guesser" algorithm. Guesser asks a question generated from SL-Q algorithm and calculates posterior by Qpost of AQM+. We use percentile mean rank (PMR) as the performance measure. Here, 93.3% of PMR at the zeroth turn means that the model can predict the correct image to be more likely than the other 8,983 images out of 9,628 candidates after exploiting the caption information solely.

**Non-delta vs. Delta Hyperparameter** The important issue in our GuessWhich experiment is delta setting. The non-delta setting is the setting in the original paper, and the delta setting is the another hyperparameter setting, which is discovered in Github code<sup>1</sup> after the presentation of the original paper. We use both non-delta setting and delta setting to test the performance of AQM+.

**Other Experimental Setting** As shown in Figure 1, our model uses five modules, Qgen, Qscore, aprxAgen, Qinfo, and Qpost. We use the same Qgen and Qscore modules as the comparative SL-Q model. The prior function is obtained from  $\hat{p}'(c|h_0) \propto exp(\lambda \cdot f^{\ddagger}(c|h_0))$  using Qscore, where  $\lambda$  is a balancing hyperparameter between prior and likelihood. We set the size of the sets  $|\mathbf{C}_{t,topk}| = |\mathbf{Q}_{t,gen}| = |\mathbf{A}_{t,topk}(q_t)| = 20.$ 

**Experimental Results** Figure 2 shows the PMR of the target image for our AQM+ and comparative models across the rounds. Figure 2a corresponds to the non-delta setting in the original paper [10] and Figure 2b corresponds to the delta setting proposed in the Github code. Figure 3 shows the top-k images selected by AQM+'s posterior. Non-delta and indA setting is used. The figure shows that relevant images to the caption remained after few dialog turns. The bottom number in the image denotes posterior of the image AQM+ thinks of.

### 4 Conclusion

Asking appropriate questions in practical applications has recently been paid attention [15, 16]. We proposed AQM+ algorithm that is a large-scale extension of AQM framework. AQM+ can ask an appropriate question considering the context of the dialog, handle the responses in a sentence form, and efficiently estimate information gain of the target class with a given question. The performance of AQM+ can be boosted further by employing the models recently proposed in the visual dialog field such as other question generator models [11] and question answering models [17].

<sup>&</sup>lt;sup>1</sup>https://github.com/batra-mlp-lab/visdial-rl

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